

Budget Office
Baseline Standards
FY 2014

| Description of Responsibility | | Responsible Person(s) (Name/Title) | |
|--|--|------------------------------------|---|
| | | Primary (Required) | Secondary (Optional) |
| DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS | | | |
| 1 | Ensuring the Departmental Policy and Procedures manual is current. | Margie Hattenbach, Exec. Dir | Karen James, DBA |
| 2 | Updating the Baseline Standards Form. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| FINANCIAL REPORTING - COST CENTER VERIFICATIONS | | | |
| 1 | Preparing cost center verifications. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 2 | Reviewing cost center verifications. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 3 | Approving cost center verifications. | Margie Hattenbach, Exec. Dir | |
| 4 | Ensuring all cost centers are verified/approved on a timely basis. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS | | | |
| 1 | Ensuring valid authorization of purchase documents. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 2 | Ensuring the validity of travel and expense reimbursements. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 3 | Ensuring that goods and services are received and that timely payment is made. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 4 | Ensuring correct account coding on purchases documents. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 5 | Primary contact for inquiries to expenditure transactions. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| PAYROLL / HUMAN RESOURCES | | | |
| 1 | Reconciling approved bi-weekly leave requests to time and effort reports. | NA | NA |
| 2 | Reconciling bi-weekly leave accruals to the HR System. | NA | NA |
| 3 | Ensuring all bi-weekly time and effort reports are submitted to Payroll. | NA | NA |
| 4 | Ensuring all monthly leave is recorded and approved in the HR System. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 5 | Reconciling time and effort reports (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports. | Karen James, DBA | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 6 | Completing termination clearance procedures. | Karen James, DBA | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 7 | Ensuring terminated employees are no longer charged to departmental cost centers. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 8 | Paycheck distribution. | Treasury | |
| 9 | Maintaining departmental Personnel files. | Karen James, DBA | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 10 | Ensuring valid authorization of new hires. | Margie Hattenbach, Exec. Dir | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 11 | Ensuring valid authorization of changes in compensation rates. | Margie Hattenbach, Exec. Dir | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 12 | Ensuring the accurate input of changes to the HR System. | Karen James, DBA | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 13 | Propriety of leave account classification on time records. | Karen James, DBA | Nancy Palomo, Asst. Bus. Admnstr-Admin |
| 14 | Consistent and efficient responses to inquiries. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| CASH HANDLING | | | |

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|--|---|--|
| | Primary (Required) | Secondary (Optional) |
| 1 Collecting cash, checks, etc. | NA | NA |
| 2 Reconciling cash, checks, etc. to receipts. | NA | NA |
| 3 Preparing deposits. | NA | NA |
| 4 Preparing Journal Entries. | NA | NA |
| 5 Verifying deposits posted correctly in the Finance System. | NA | NA |
| 6 Adequacy of physical safeguards. | NA | NA |
| 7 Transporting deposits to Student Financial Services. | NA | NA |
| 8 Ensuring deposits are made timely. | NA | NA |
| 9 Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 10 Updating Cash Handling Procedures as needed. | NA | NA |
| 11 Distribution of Cash Handling Procedures to employees who handle cash. | NA | NA |
| 12 Consistent and efficient responses to inquiries. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| PETTY CASH | | |
| 1 Preparing petty cash disbursements. | NA | NA |
| 2 Ensuring petty cash disbursements are not for more than \$100. | NA | NA |
| 3 Ensuring petty cash disbursements are made for only authorized purposes. | NA | NA |
| 4 Approving petty cash disbursements. | NA | NA |
| 5 Replenishing the petty cash fund timely. | NA | NA |
| 6 Ensuring the petty cash fund is balanced after each disbursement. | NA | NA |
| LONG DISTANCE CHARGES | | |
| 1 Manager review of long distance charges for unusual activity. | NA | |
| 2 Ensuring personal calls are reimbursed within 10 days from the billing date. | NA | |
| CONTRACT ADMINISTRATION | | |
| 1 Ensuring departmental personnel comply with contract administration policies/procedures. | Luisa Gallegos, Financial Coordinator 2 | Pat Sayles, Exec. Dir. Div. Business Oper. |
| PROPERTY MANAGEMENT | | |
| 1 Performing the annual inventory. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 2 Ensuring the annual inventory was completed correctly. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 3 Tagging equipment. | Karen James, DBA | Matthew Crouch, Dept. Bus. Administrator; |
| 4 Approving requests for removal of equipment from campus. | Margie Hattenbach, Exec. Dir | Pat Sayles, Exec. Dir. Div. Business Oper. |

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| DISCLOSURE FORMS | | | |
| 1 | Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 2 | Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online. | Karen James, DBA | Margie Hattenbach, Exec. Dir |
| 3 | Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research. | NA | NA |
| ACCOUNTS RECEIVABLE | | | |
| 1 | Extending of credit. | NA | NA |
| 2 | Billing. | NA | NA |
| 3 | Collection. | NA | NA |
| 4 | Recording. | NA | NA |
| 5 | Monitoring credit extended. | NA | NA |
| 6 | Approving write-offs. | NA | NA |
| NEGATIVE BALANCES | | | |
| 1 | Ensuring that all fund groups for each Dept ID have positive fund equity at year-end. | Margie Hattenbach, Exec. Dir | Pat Sayles, Executive Director, Business Services |
| 2 | Ensuring that research expenditures are covered by funds from sponsors. | NA | |
| DEPARTMENTAL COMPUTING | | | |
| 1 | Management of the departments' information technology resources. | Karen James, DBA | Admin Support Team |
| 2 | Ensuring that critical data back up occurs. | Karen James, DBA | Admin Support Team |
| 3 | Ensuring that procedures such as password controls are followed. | Karen James, DBA | Admin Support Team |
| 4 | Reporting of suspected security violations. | All Staff | |
| For Departments listed below | | | |
| ID | Dept Name | | |
| H0181 | Budget Office | | |
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